IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christian Herzig et al.

Serial No.: To be Assigned

(Division of U.S.S.N. 10/298,715;

filed November 18, 2002)

Filed:

Filed Herewith

For:

ALKENYL-FUNCTIONAL SILOXANE COPOLYMERS AS ANTIMISTING

ADDITIVES FOR SILICONE COATING COMPOSITIONS

Attorney Docket No.: WAS 0551 PUS1

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(1)

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A & B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98(d), copies of the cited references are not being provided since the references were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 10/298,715, filed November 18, 2002 (pending), of which the present application is a divisional.

Respectfully submitted,

Christian Herzig et al.

3/11/20

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PTO/SB/08A (10-96) [MODIFIED]
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Substitute for Form 1449A/PTO				Complete if Known		
				Application Number		
	MATION D			Filing Date	Filed Herwith	
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Christian Herzig et al.	
				Group Art Unit	Unknown	
(us	se as many sheets	as ne	ecessary)	Examiner Name	Unknown	
Sheet	1	of	2	Attorney Docket Number	WAS 0551 PUS1	

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	U.S. PATENT D	OCUMENT Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures.Appear	
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Examiner	Date	
Signature	Considered	İ

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known				
			01 001105	Application Number				
				Filing Date	Filed Herewith			
			PLICANI	First Named inventor	Christian Herzig et al.			
				Group Art Unit	Unknown			
	(use as many she	ets as	s necessary)	Examiner Name	Unknown			
Sheet	2	of	2	Attorney Docket Number	WAS 0551 PUS1			
	OTHER P	RIO	R ART NON P	ATENT LITERATUR	E DOCUMENTS			
Examiner Initials	Cite No.1		m (book, magazine, journal, s	CAPITAL LETTERS), title of the ar erial, symposium, catalog, etc.), dat olisher, city and/or country where pu	e, page(s), volume-issue number(s),	T ²		
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.